

# Agenda Item #15.D.



BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY • GOVERNOR EDMUND G. BROWN JR.

Board of Vocational Nursing and Psychiatric Technicians  
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DATE: October 20, 2016

TO: Board Members

  
FROM: Dr. Kameka Brown, PhD, MBA, NP  
Executive Officer

SUBJECT: Enforcement Division Report

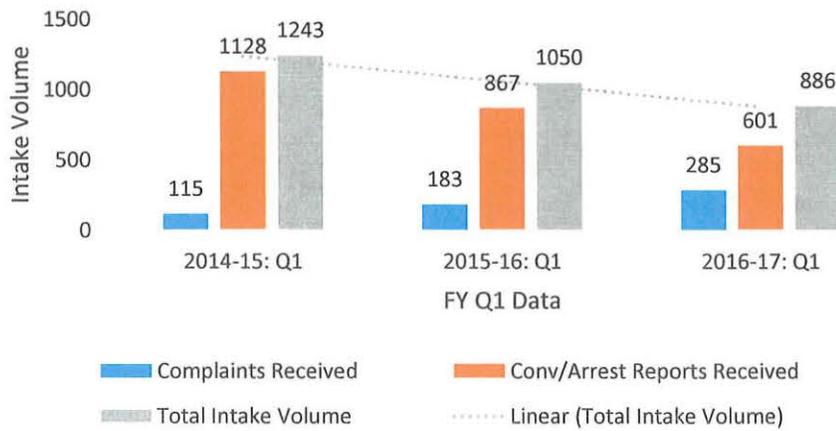
Attached please find an Overview of Enforcement Activity for the previous five fiscal years and the current Quarter 1 of Fiscal Year (FY) 2016/17. Below is a summary of recent program trends. Key trends to note: from FY 2015/16 to FY 2016/17, we see a decrease in every unit in average days to complete investigations. We see a 42% decrease in overall average days to complete cases. We also see a 44% decrease in average days to close cases without discipline, as well as a 12% decrease in average days to complete discipline cases.

## Intake

Fiscal Year 2016/17 Quarter 1 Board Report Intake data compared to prior Fiscal Year Q1 Performance Measure Intake data shows a decrease in Conviction/Arrest Reports received. This is due to regulation changes, including the regulation change reducing the threshold for disclosure of traffic violations from \$300 to \$1,000, and the process change for referring applicants to the Enforcement Division. In FY 2015/16 Q1, the Board received 867 Conviction/Arrest Reports. In Q1 of FY 2016/17, the Board received 601 Conviction/Arrest Reports, a 31% decrease. We see a 56% increase in Complaints received due, in part, to BreEZe now accepting online Complaints as of January 2016.

However, the total intake received has decreased by 16% compared to the prior FY Q1. This overall decrease allows the Board to focus on the most critical cases.

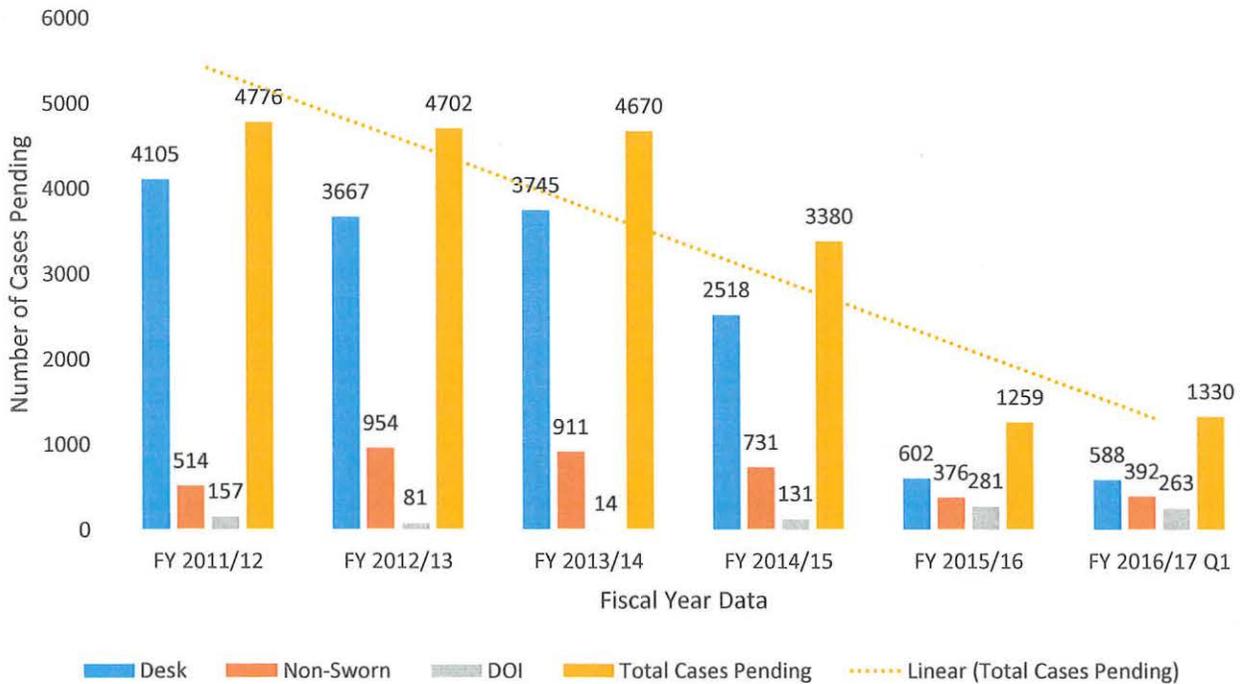
### Intake Volume Quarter 1 Trends



### Investigations

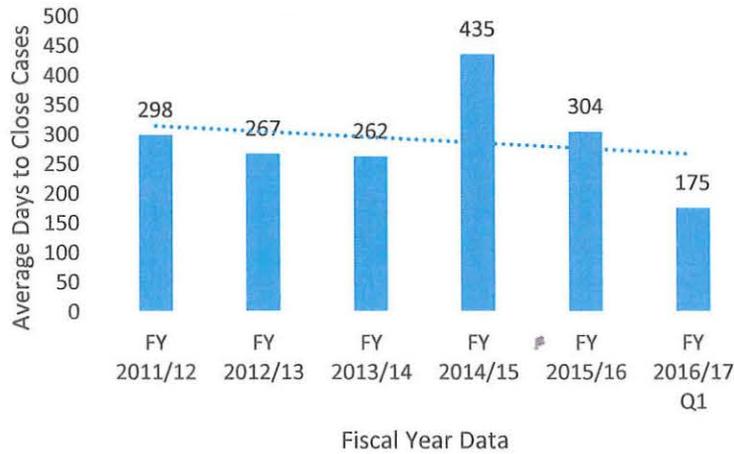
The Number of Cases Pending overall shows a decreasing trend compared to prior FYs (and an insignificant increase in Q1 of FY 2016/17).

### Number of Cases Pending FY 2011/12 - FY 2016/17 Q1

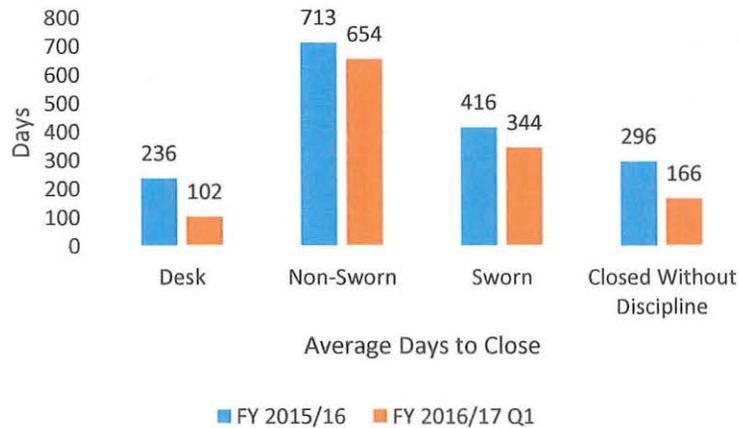


The Average Days to Complete Investigations continues to decrease. For FY 2016/17 Q1, overall average days to close investigations decreased by 42%, compared to the prior FYs. Furthermore, FY 2016/17 Q1 shows a decrease in average days to Close Investigations across all units - for Desk, Non-Sworn, and DOI units - compared to FY 2015/16, as well as for cases Closed Without Discipline.

Average Days to Complete Cases

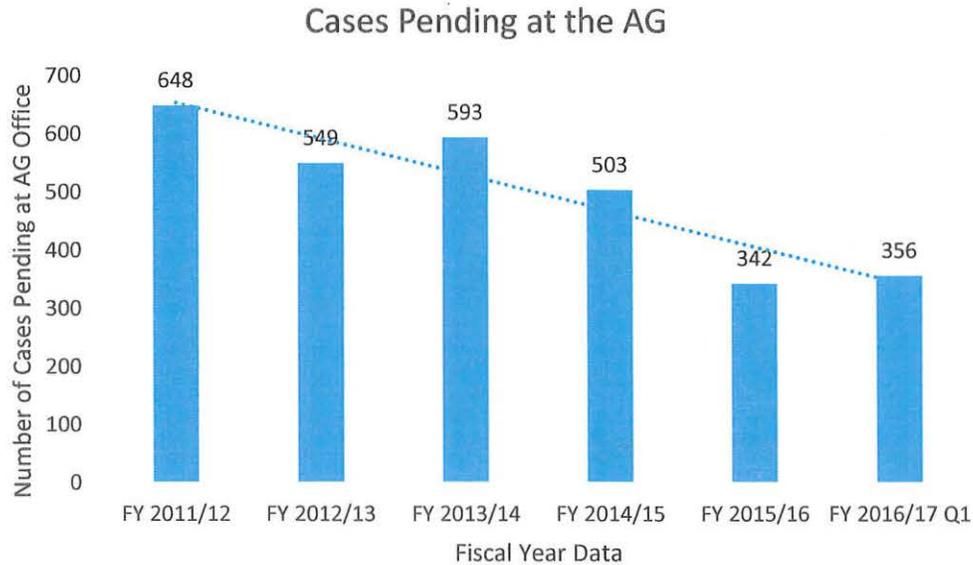


Average Days to Close Investigations

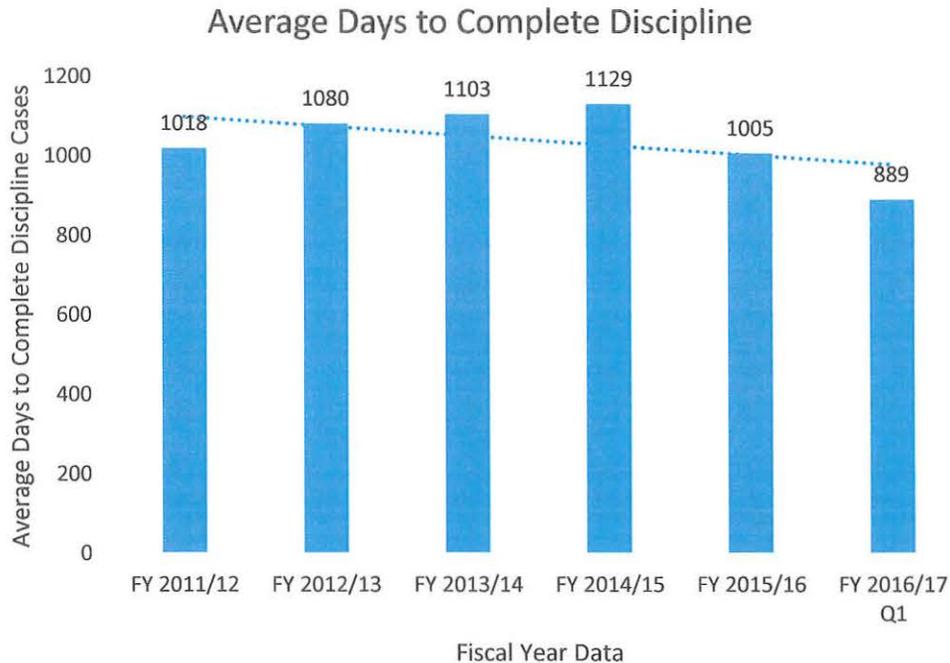


## Discipline Unit

The number of Cases Pending at the AG's office continues to decline overall compared to prior FYs.



The average days to complete discipline cases is recently declining in Q1 of FY 2016/17, as we continue to clear the Legacy backlog of the oldest cases.



## Overview of Enforcement Activity

Enforcement Volume	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17 (Q1)
<b>Intake</b>						
Complaints Received	673	717	647	561	1,061	285
Arrest/Conviction Reports	4,975	4,307	5,150	4,855	2,391	601
<b>Total Complaints Received</b>	<b>5,648</b>	<b>5,024</b>	<b>5,797</b>	<b>5,416</b>	<b>3,452</b>	<b>886</b>
<b>Investigations Assigned</b>						
Desk Investigations	5,301	4,637	5,413	5,344	3,325	707
Non-Sworn Investigation	759	712	451	443	365	68
Sworn/DOI Investigations	97	15	0	130	373	49
<b>Total Cases Assigned for Investigation*</b>	<b>6,157</b>	<b>5,364</b>	<b>5,864</b>	<b>5,450</b>	<b>4,063</b>	<b>829</b>
<b>Investigations Pending</b>						
Desk Investigations	4,105	3,667	3,745	2,518	602	588
Non-Sworn Investigations	514	954	911	731	376	392
Sworn/DOI Investigations	157	81	14	131	281	263
<b>Total Cases Pending*</b>	<b>4,776</b>	<b>4,702</b>	<b>4,670</b>	<b>3,380</b>	<b>1,259</b>	<b>1,330</b>
<b>Investigations Completed</b>						
Desk Investigations	4,768	4,876	5,249	6,251	4,827	821
Non-Sworn Investigations	272	251	494	513	541	45
Sworn/DOI Investigations	106	91	68	17	189	76
<b>Total Cases Completed*</b>	<b>5,146</b>	<b>5,218</b>	<b>5,811</b>	<b>6,781</b>	<b>5,557</b>	<b>965</b>
<b>Average Days to Complete Investigations</b>						
Desk Investigations	289	246	224	419	236	102
Non-Sworn Investigations	315	509	584	601	713	654
DOI Investigations	682	771	899	948	416	344
<b>Overall Average Days to Complete Cases*</b>	<b>298</b>	<b>267</b>	<b>262</b>	<b>435</b>	<b>304</b>	<b>175</b>
Closed Without Discipline	4,813	4,950	5,400	6,486	5,152	883
<b>Average Days to Close</b>	<b>281</b>	<b>253</b>	<b>242</b>	<b>432</b>	<b>296</b>	<b>166</b>
<b>Formal Discipline</b>						
Cases Referred to AG's Office	401	285	370	290	364	99
Cases Pending at AG	648	549	593	503	342	356
Accusations Filed	251	217	237	284	257	85
Statement of Issues Filed	82	68	50	69	30	6
Petition to Revoke	20	15	14	19	25	7
<b>Total Disciplinary Actions Completed<sup>1</sup></b>	<b>188</b>	<b>320</b>	<b>255</b>	<b>319</b>	<b>440</b>	<b>80</b>
<b>Average Days to Complete Discipline</b>	<b>1,018</b>	<b>1,080</b>	<b>1,103</b>	<b>1,129</b>	<b>1,005</b>	<b>889</b>

<sup>1</sup> Does not include Petition for Reinstatement, Petition for Reduction of Penalty/Early Termination, or Citation Appeals. 2016 measures Imposed Date; prior to 2016 measures Effective Date.

\*Cases differ from Investigations. One case may go through several investigations before completion. Case aging includes all investigation time at any and all units, and supplemental investigation time as well.